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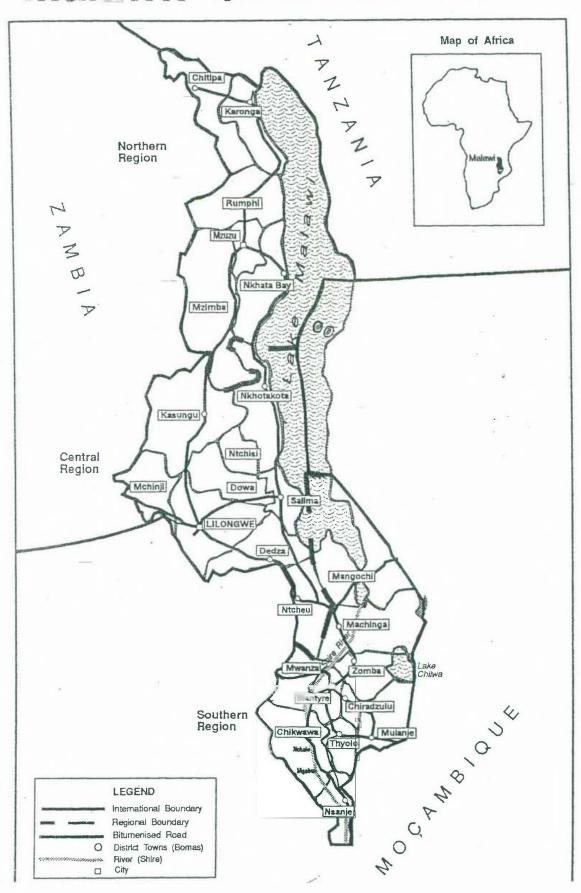
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Appendix 1: Map of Malawi

showing Bitumenised Road, International, Regional and District boundaries,



Appendix 2: Basic Education Policies in Malawi

- 4. Proposed Policies (2000-2015)
- 4.1 Basic Education

4.1.1 Mission Statement

The Government aims at developing and providing quality basic education for every child in an effective partnership with relevant stakeholders. The purpose of primary education is to equip students with basic knowledge and skills to allow them to function as competent and productive citizens in a free society. The provision of primary education will be the main contribution of the education sector to poverty alleviation.

4.1.2 Access

(a) Policies

- 1. Equal access for all children to quality primary education shall be the main thrust of Government policy on basic education access. Net enrolment ratio shall increase to 95 % by 2007.
- 2. The Government shall develop and implement a more coherent strategy on basic education issues such as pre-school provision, adult education and literacy and school health and nutrition. Relevant strategy documents shall be produced by 2002 and adult literacy rate shall improve from the estimated 65% to 85% over PIF period.
- 3. Government shall promote the development of pre-school facilities so that an increasing number of children have access to pre-school facilities.
- 4. Government shall promote strong partnerships with other basic education providers with the aim of strengthening the involvement of private education providers.
- 5. Minimum entry into primary education shall be 6 years of age while the maximum age of entry shall be 11 years. Average age range in a class will reduce from 10+ years to 5 years.
- 6. The MoES&C shall promote a double shift system for those schools that are adequately equipped for such an innovation as a way of expanding access.

(b) Strategies

- 1. More schools and classrooms will be built, based on clearly identified needs and priorities especially in areas where there is under-provision. Classroom stock will increase from 25,000 in 1997 to 40,000 in 2012.
- 2. Existing schools will be improved, renovated and maintained. Pupil: permanent classroom ratio will improve from 119 in 1997 to 80 in 2012.
- 3. Census, school mapping and coordinated data collection exercises will be organised which will specify the areas of greatest need, in terms of provision and maintenance of school infrastructure, facilities and resources.
- 4. A series of strategies, incorporating elements of civic education, which will highlight the benefits of education and increase the participation of communities and other stakeholders in the education process will be developed and implemented.
- 5. The Ministry of Education, Sports and Culture will actively seek closer links with the Ministry of Gender, Youth and Community Services, the Ministry of Health and Population and the Ministry of the Disabled to generate discussion and debate on areas of mutual interest. These enhanced links will lead to the identification of strategies and plans of action to tackle basic education issues in a more holistic manner.

emment will enact appropriate legislation to ensure the necessary enabling ent to encourage the provision of quality private primary education in order to ne number of pupils in private primary schools.

ernment shall establish guidelines on community participation in primary education while taking into account significant variations among different communities.

es

ent shall provide an enabling environment for children with special educational needs e underprivileged within the conventional school system.

ent shall be proactive in its investigation of strategies needing to be introduced, to acation all-inclusive. Specific target groups to include: orphans (especially those rents have died of HIV/AIDS); children with special educational needs; girls; and out-youth.

eeds education shall be afforded a priority status with the aim of getting a higher f pupils with special education needs to complete the primary education cycle.

quity shall be promoted by making the school an environment supportive of the needs by and girls. The target is increased and equitable participation of boys and girls in teation. The MoES&C shall put in place appropriate measures to enhance the on of girls in basic education. The proportion of female pupils enrolled rises from 198 to 50% in 2002.

IS&C shall devise and implement strategies whereby teachers (including female are encouraged to teach in remote and difficult areas. The proportion of rural schools no 60:1 pupil ratio will increase from 15% in 1997 to 25% in 2002.

s of students with severe disabilities shall be addressed through setting up a live framework with other Ministries (e.g. Health and Population, Gender Youth & ty Services and Ministry of Persons with Disabilities) and with civic organisations ACOHA by the end of 2000.

gies

overnment will develop and implement a primary education policy aimed at making tion at the primary level all-inclusive.

MoES&C will coordinate a social mobilization campaign targeting socially antaged groups, which will aim to boost the participation of out-of-school youth, orphans (especially those whose parents have died of HIV/AIDS) and children with all and learning difficulties.

en with mild disabilities will be taught in regular schools, supported by teachers with c training in special education.

sysical design and construction of schools will address the needs of children with needs.

overnment will promote the Community Rehabilitation Strategy in the case of preage children, with the objective of helping families cater for children with special so elder female siblings can be released to attend school.

pecial Needs Education Support System will be strengthened.

oES&C will continue the development of gender sensitive instructional materials, ation of teachers to gender issues and through gender-sensitive approaches to education.

unity Based Childcare (CBCC) will be promoted in primary schools.

- 9. MIE & MANEB will continue to devise strategies to ensure that pupils with special needs are not disadvantaged in their efforts to complete their courses of study.
- 10. The MoES&C will promote the acceptance and operationalisation of the fact that more equitable education will be provided through enhanced partnerships, especially with local communities.
- The MoES&C will investigate the options of incentive schemes and improved conditions of service for teachers posted to remote and difficult rural areas.

4.1.4 Quality

(a) Policies

- 1. The MoES&C shall establish and maintain agreed minimum standards for the provision of quality teaching and learning in all primary schools. Survival rate to standard 8 shall increase from 20% in 1997 to 30% in 2002.
- 2. The MoES&C shall strive to promote the capacity of schools to offer a comprehensive range of literacy and numeracy skills.
- 3. Efforts shall be made to reduce the current pupil qualified teacher ratio to 60:1 across all primary standards by 2012. The national teacher: pupil ratios in Standards 1 shall reduce from 134:1 in 1997 to 80:1 in 2007 and 60:1 2012.
- 4. The MoES&C shall put in place appropriate measures to reduce pupil repetition and early school withdrawal. Repetition rate shall decrease from an average of 15% to 5% in standards 1-7 and to 10% in standard 8. Dropout rate shall reduce to 5% in all standards during the plan period.
- 5. The MoES&C shall investigate and tackle the main causes of chronic pupil absenteeism in primary schools and will develop and introduce collaborative strategies and measures such as community sensitisation to address the situation. The intention is to increase the daily attendance/enrolment ratio from 60% in 1999 to 80% in 2002 and to 100% in 2007.
- 6. Schools shall be required to promote good health and sanitation practices. National pupil: permanent latrine ratio shall decrease from 349:1 in 1997 to 100:1 by 2007.
- 7. Schools shall be suitably and cost-effectively constructed so as to enhance effective learning and practical maintenance. National pupil: classroom ratio shall decrease from 119:1 in 1997 to 80:1 in 2007.
- 8 Government shall establish standards and guidelines for minimum quality infrastructure while taking into account variations in both local conditions and different levels of community expertise.
- 9. Every effort shall be made to address the rehabilitation and maintenance needs of all schools.
- 10. The MoES&C shall ensure that each school has an adequate supply of instructional and teaching/learning materials, and that schools have some degree of control and choice over such a supply. The pupil textbook ratio shall improve from an average of 24 pupils per textbook in 1997 to 2 pupils per textbook by 2002.
- 11. The MoES&C shall improve the distribution of school materials and supplies. It is hoped that by 2002 the distribution of these materials will have improved such that all pupils will be supplied with exercise books and writing materials.
- 12. Schools shall be encouraged to establish school libraries, allowing pupils greater access to books as a way of improving their literacy levels.
- The MoES&C shall take relevant action aimed at improving the supervisory, inspection and advisory system. Visits to schools by PEAs shall increase to at least 3 times a year.
- As a mechanism for monitoring and evaluating the quality of primary education, pupil assessment procedures shall be afforded a high priority.

(b) Strategies

- 1. The MoES&C will plan, introduce and promote the concept of 'Whole School Development' process nationally.
- 2. Future developments of primary school facilities and infrastructure will be in response to identified need.
- 3. The MoES&C will establish, publish and promote national norms and guidelines for school construction.
- 4. A schools rehabilitation and maintenance programme will be developed by 2002. The MoES&C will ensure that this programme is implemented and monitored.
- 5. Guidelines for an acceptable level of water and sanitation provision will be developed. Every effort will be made to provide the minimum necessary health and sanitation facilities, which includes provision of adequate latrines and safe water, and effective health promotion in schools and communities
- 6. Strategies to improve the supply and distribution of school materials and teaching/learning resources, such as the decentralisation of distribution will be developed and introduced. Such strategies will endeavor to target the most poorly resourced schools.
- 7. The MoES&C will develop and enforce systems and procedures, which will lead to more efficient use, and functional life of instructional materials.
- 8. The MoES&C will review and implement the supervisory, inspection and advisory mechanisms and procedures, both at the centre and in the decentralised offices, to provide more effective support to schools and staff.
- The process of national assessment shall be reviewed and appropriate recommendations for change shall be made in the light of emerging needs and the changes in local catchment recruitment at the secondary level.
- 10. Appropriate guidance and counseling programmes will be designed and implemented as a way to improve the quality of primary school education.

4.1.5 Relevance

(a) Policies

- The MoES&C shall through the MIE be responsible for the development, implementation and monitoring of a basic national curriculum, which addresses both the academic and non-academic needs of pupils. The primary school curriculum will be revised by 2002.
- While every school shall adopt and follow the basic curriculum, schools shall be encouraged to develop and introduce not more than two, non-examinable and skill-focused subjects oriented towards the local enrichment and diversification of curriculum. The equipping of teachers with relevant curriculum development skills shall precede the implementation of this strategy.
- 3. The MoES&C shall actively encourage the promotion of schools as environments where pupils are enlightened on all communicable diseases, including HIV/AIDS.

(b) Strategies

- 1. The MoES&C will review, publish, implement and monitor the primary national curriculum starting from 2000.
- 2. The basic education curriculum and syllabuses will be amended to reinforce the messages related to the social impact and coping strategies of the HIV/AIDS pandemic.

4.1.6 Management

(a) Policies

- 1. Government shall vigorously pursue its policy of decentralisation of responsibilities and services so as to support schools and to ensure efficiency gains.
- 2. The system of teacher recruitment and deployment shall be rationalised and decentralised so as to respond to the actual needs of pupils, schools and communities. Districts shall assume the responsibility of recruitment and deployment by 2002.
- 3. While the Government shall continue to be the main provider of education, parents and communities shall be involved in the management of schools. The intention is increase the percentage of effectively functioning school committees to 100% by 2012.
- In respect to school governance, Government shall establish guidelines on community participation and school management, while taking into account significant variations among different communities.
- 5. The Government shall forge stronger links with all stakeholders in the education process.

(a) Strategies

- More effective and efficient use of the existing school facilities will be made. Piloting strategies to make more efficient use of school facilities might include multi-grade teaching/learning.
- 2. Management responsibilities and provision of services to primary schools will be transferred to the district, zonal and school levels.
- 3. Deployment of personnel, training and resourcing will be strengthened at the district and zonal levels.
- 4. School management will be supported through training programmes for Primary Education Advisers, senior school staff and school management committees.
- 5. Teacher recruitment and posting policy will be reviewed so teachers are more efficiently recruited and deployed.
- 6. Primary school teacher recruitment and deployment will acknowledge the scale of teacher attrition due to a variety of factors including the HIV/Aids pandemic.
- 7. The MoES&C, in consultation with relevant stakeholders, will establish guidelines for the working relationship between parents' association, teachers' union and school management committees.

4.1.7 Planning

(a) Policies

- 1. EMIS shall be afforded a priority status in the quest for an effective tool in the rationa planning of the primary education system. A functioning and relevant EMIS will be in place by 2002.
- 2. A School Mapping Exercise shall be mounted every five years so as to enhance the capacity of Ministry staff to efficiently assess, plan and effect education development in line with the socio-economic and demographic factors, at national, divisional, district and zonal levels.

(b) Strategies

- 1. EMIS will be enhanced through an improved and streamlined school census exercise and expanded school mapping effort.
- 2. The capacity of schools, zones, districts and divisions to gather, interpret and use data will be enhanced.
- 3. Reliable, relevant and timely information will be freely available to all personnel, at all levels of the system. Training in data analysis and utilisation will follow.

4.1.8 Finance

(a) Policies

- 1. The government shall continue to increase and maximise the share of the educational budget allocated to basic education. The share of allocation to basic education shall rise from 62% in 1997 to at least 65% in 2002.
- 2. The Government shall encourage and strengthen partnerships in educational development.
- 3. The MoES&C shall introduce measures to increase the internal efficiency of the system so as to reduce the overall cost of primary education. The input/output ratio shall improve from 2.38:1in 1997 to 1.5:1 in 2002.
- 4. The MoES&C shall pursue policies, which increase the allocation of the education budget to primary school teaching/learning materials. The share of allocation to teaching/learning materials shall increase from 3% in 1997 to 13% in 2002.
- 5. The Government shall introduce cost-saving measures regarding the maintenance of existing teaching and learning materials. Relevant measures shall be in place by 2002.
- 6. The MoES&C shall continue to encourage communities and parents to assist in school construction and maintenance in kind through the provision of their labour. However, parents/communities wishing to make cash contributions will be allowed to do so and the MoES&C shall establish mechanisms, which schools and school committees should follow to allow greater transparency and accountability.
- 7. The MoES&C shall promote the expansion of private primary schools.
- 8. The double shift system, focusing on more effective use of physical facilities shall be increased, particularly in urban schools by.

(b) Strategies

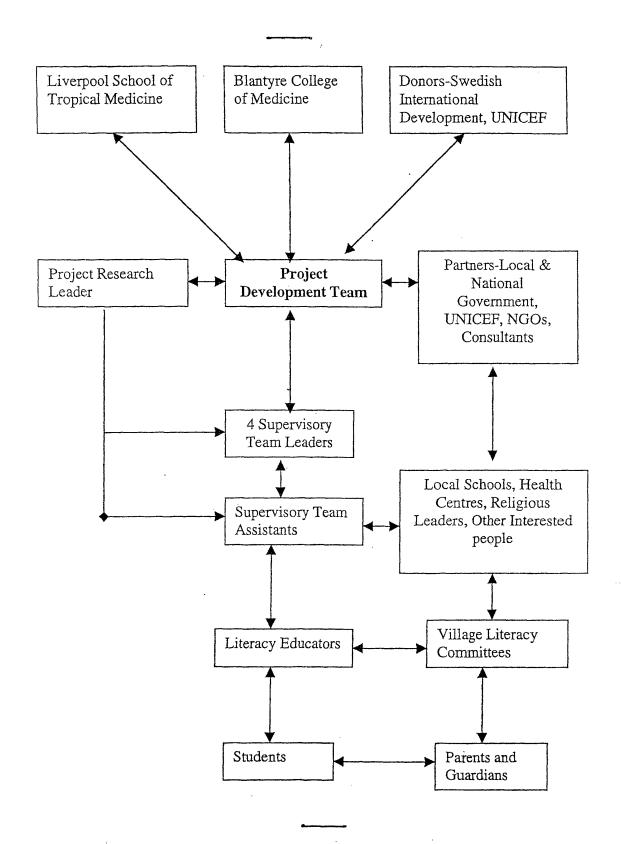
- The MoES&C will strengthen links partnerships between individuals, local communities, Non-Governmental Organisations (NGOs), the private sector, central government and the donor community.
- 2. Internal efficiency will be improved by repetition and drop out rates being systematically reduced. Efforts will be made to ensure that the strategies utilised to bring about these reductions will not compromise the access that pupils have to education, nor the quality of that education.
- 3. The MoES&C will devise a mechanism for guiding the determination of schools, which may have the potential to implement double shifting by the end of 2000.

Appendix 3: Categories of Participants in the Study

Data Sources D d P T C	Aim/Objective To comb by the c
From the Executive Director and his deputy; The Project Officers both at the head office and the project sites (field),; Field Officers; Teachers; School Committees.	Case No. 1 International NGO To determine the extent to which International NGOs contribute to overall basic education programmes in Malawi and how they collaborate with other stakeholders in the process.
The Executive Director and his deputy; Board Members, Project Officers; Field Officers; Teachers and Committee Members	Case No.2 Established Local NGO Determine basic education practices and consultative and collaborative mechanisms with other stakeholders in providing basic education in rural communities.
The Exedutive Director and his deputy; Board Members; Project Officers; Field Officers; Teachers and Committee members.	Case No. 3 Emerging Local NGO Determine basic education practices and consultative and collaborative mechanisms with other stakeholders in providing basic education in rural communities.
The Minister of Education and his deputy; 3 Chief Methods Advisors; 3 Zonal Education Officers; 2 Regional Education Officers; 7 Primary Educationn Advosors.	Ministry of Education Officials To document how they percieve as NGO's viability in contributing to basic education in Malawi and how government can effectively collaborate with NGOs in this regard.
5 Village Headmen; 14 Local Community Members; 3 representatives of major political parties; and 3 members of parliament.	PARTICIPANTS Local Community Members To ascertain what they percieve is the role of NGOs in basic education and the extent to which they are involved in the entire process of identification, implementation, monitoring and evaluation of the basic education programmes.
3 District Commissioners; Chairpersons, Sectretaries and Treasurers of District Development Committees, District Executive Committees, Local Education Authority, and Community Development Officers.	District Development Committees To determine collaborative mechanisms at the district level among various development partners in issues of basic education.

analysis/coding and analysis/coding and analysis/coding and analysis/coding and discourse analysis discourse analysis discourse analysis
Thematic Thematic
evidence evidence
Documentaty documentary
interviews; and interviews; and interviews.
semi-structured semi-structured semi-structured
Interviewing using Interviewing using Interviewing using
In-Depth In-depth
sampling sampling sampling
criterion-based criterion-based criterion-based
or purposive or or purposive or or purposive or
Non-probabilistic Non-probabilistic Non-probabilistic

Appendix 4: AGLIT's Organisational Management Structure



Appendix 5: Council for NGOs in Malawi's (CONGOMA) Profile

CONGOMA is a membership umbrella organisation with a composition of both National and International NGOs. Established in 1992 and delinked, as it were, from government its membership mainly consists of NGOs undertaking a cross-section of development and human rights activities. The organisation was established to:

- Enhance and improve operational environment within which NGOs function,
- Promote and facilitate coordination, collaboration and cooperation within and among the NGO community, government, donor community and the private sector within Malawi,
- Further the standing of NGOs as competent, professional and suitable agents of development, and
- Support member NGOs to build and strengthen their institutional capacity.

Over the last couple of years, the increasing number and importance of NGOs in facilitating development activities has dramatically sourced, notwithstanding evident constraints encountered, viz-a-viz:

- Their struggle to become independent institutions with a clear identity and vision,
- Operating within deteriorating social and economic environment compounded by increasingly adverse relationship between the state and civil society,
- Designing programmes in response to shifting donor interest rather than to a clear analysis of the needs of their constituencies,
- Inadequate systems of management and poor planning and programme design,
- Complete dependence on outside donor funding,
- Staff members' lack of skills in policy analysis and advocacy, information in form of arguments backed by empirical evidence and access to policy-making arena.

In spite of all these, CONGOMA advocates for developing strategies that transcend project oriented approach to greater and broader vision their (NGOs') roles in development. The organisation realises that an improvement in NGO staff's technical and managerial skills should help to demonstrate the effectiveness of their intervention in reaching the grassroots. CONGOMA further realises that greater leadership and better

knowledge of the socio-economic environment in which its membership operates is vital in addressing negative policies which stand in the way of development. In view of some of these pertinent issues, CONGOMA has embarked on a number of programmes aimed at assisting local NGOs develop a clear sense of identity and roles as a stepping stone for the designing of better and strong programme and organisation. These programmes are:

- CONGOMA Institutional and Programme Development- basically focussing on CONGOMA's effectiveness and efficiency, particularly based on what is already working.
- Self-Reflection and Learning Programmes- aimed to assisting NGOs to understand who they are and where they are going.
- Strengthening Leadership for Development-a programme aimed at strengthening the technical skills of NGO staff and improving their structures and programmes, with much emphasis on participation in all aspects of CONGOMA member's work to enhance their accountability to their own constituencies.
- NGO and Advocacy-the programme designed to enable CONGOMA members to define constructive civic role and participate actively in promoting effective development approaches and influencing policy-making process in the broader context.
- Smart Partnership for development-this is a programme intended to strengthen the thinking around achieving financial and other resources sustainability through a broader search for alternatives focusing at the local level than purely dependent on donors.
- Improving NGO Operational Environment-a programme whose main focus is to
 provide information about bureaucratic and political perspectives as well as policy
 alternatives and implications in order to develop strategies for engaging the state

Appendix 6: Interview Questions

	IDENTIFICATION NUMBER:
	INTERVIEW SCHEDULE FOR NON-GOVERNMENTAL ORGANISATION (NGO) STAFF
1	Would you tell me some of the programmes your organisation implements.
2.	Where do you implement basic education programmes?
3.	Can you tell me in as much detail as possible, why you implement basic education programmes in the areas you have mentioned?
	(Reasons for sites and type of beneficiaries)
4.	Can you give me some examples of basic education programmes you are implementing.

5. Could you say something about the processes of the implementation of basic education programmes?

Probe on the following:

Identification, development, implement, monitoring and evaluation of the programmes,

Who is involved? Why are they involved?

What is the information base?

What are the consultative processes used to enhance participation?

What techniques are used?

6. What do you think are the major challenges of basic education programmes in your target areas?

What are the causes of these challenges?

7. Are there any other organisation providing basic education programmes in the geographical areas you are working in?

If yes, how would you describe your relationship with them?

Could you describe, in as much detail as possible, a situation which demonstrates your working relationship with other NGOs providing basic education in the area?

8. Do you consider that your programmes are achieving the desired outcomes?

If yes, what is/are the change(s)?

If not, why is/are there no change(s)?

Probe: Processes of evaluation.

- 9. From your experience, in what way(s)would you improve the provision of basic education programmes?
- 10. Can you tell me whether there is any relationship between your organisation and government in so far as basic education programmes are concerned?

What is the nature of your relationship?

Is government aware of your basic education programmes?

What examples could you provide or describe to indicate that there is mutual relationship between your organisation and government?

11. Do you have any other comments to make regarding basic education and how you work with the communities?

THANK YOU FOR THE INFORMATION AND COOPERATION

IDENTIFICATION	NUMBER:]
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INTERVIEW SCHEDULE FOR MINISTRY OF EDUCATION OFFICIALS.

- 1. In your role within The Ministry of Education, have you had any experience in working with NGOs?
- 2. What knowledge do you have of the role and approach of NGOs to the implementation of basic education?
- 3. What role does the Ministry of Education play in the NGOs' provision of basic education programmes?
- 4. Are there forums for dialogue, debate, interaction or communication, especially on issues of basic education and on how NGOs provide basic education?

If yes, how does the Ministry of Education do it?

If not, why not?

5. Does the Ministry of Education participate in basic education programmes implemented by NGO?

(Probe for examples and evidence)

6. What, in your view, are the matches and mismatches, if any, in what NGOs do in relation to government priorities in basic education?

(Probe for conflicts and disagreements; overlaps and synergies; and how they are settled)

- 7. What would you say are the major positive developments in NGO basic education interventions?
- 8. What, in your opinion, are the major challenges that government faces in working with NGOs in basic education?

What are the causes of the challenges?

How does the Ministry of Education deal with the challenges in order to facilitate NGOs' contribution to basic education in Malawi?

9. What other issues would you like to share with respect to NGOs in basic education in Malawi?

THANK YOU VERY MUCH FOR THE COOPERATION AND PROVIDING THE VALUABLE INFORMATION.

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INTERVIEW SCHEDULE FOR LOCAL COMMUNITY PARTICIPANTS

1.	What development	programmes	are	undertaken	in	your
	community?					

- 2. Which of those programmes are provided by NGOs?
- 3. Of the programmes provided by NGOs, can you give me some examples of basic education programmes?
- 4. Why do NGOs provide basic education programmes in your community?
- 5. How would you describe the processes NGOs follow to initiate basic education programmes in your community.

(Probe tl	he process	of:
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- consultation
- inclusiveness of various players in the process
- participatory processes
- effectiveness of programmes
- outcomes)
- 6. Do you support NGOs' effort of providing basic education programmes?

If yes, why do you think it is important to support the NGOs?

If not, why not?

- 7. How would you describe your relationship with NGOs?
- 8. Have there been major positive developments of NGOs' provision of basic education in your community?

What are the developments?

	Why have those developments occurred?
	If not, why have there not been any positive developments?
9.	What, in your view, are the challenges that you encounter in working with NGOs in basic education?
	What are the causes of those challenges?
	How do you deal with the challenges?
10.	Are there any other organisations/institutions that work along with NGOs in your community?
	Do they collaborate with each other?
	Do those other partners consult you?
	What is your working relationship with them?

8.	Have you experienced any differences and conflicts with NGOs in
	basic education?
	If so, how have they been resolved?

9. Do you have any other comments to make with regards to NGOs' contribution to basic education in your community?

THANK YOU VERY MUCH FOR THE INFORMATION

IDENTIFICATION NUMBER:		
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INTERVIEW SCHEDULE FOR VARIOUS DEVELOPMENT COMMITTEE MEMBERS

- 1. Can you describe, in as much detail as possible, how development programmes are initiated at a district level.
- 2. What types of organisations have established development projects in the district?
- 3. What is the process of initiating a development project with NGOs in the district?
- 4. From your experience, how do NGOs initiate development programmes, especially in basic education?
- 5. Do the various district development committees collaborate in order to facilitate NGO basic education programmes

Why do they collaborate?

How do they collaborate?

If not, why don't they collaborate

- 6. Based on government development plans, to what extent would you say NGOs contribute to overall government plans to poverty alleviation through basic education?
- 7. Do district development committees and NGOs collaborate with local communities on issues of basic education?

If yes, how?

Give examples of such collaborative efforts.

8. What challenges do you encounter in the collaborative process between and among development partners (including NGOs) in implementing basic education programmes?

What are the causes of the challenges?

How do you deal with such challenges?

- 9. What would you say is the role of the donor community in the financing of basic education programmes?
- Is there any positive impact NGOs are making in basic education in the district?

If yes, can you give some examples?

Why is there such a positive impact?

If there is no positive impact, why?

11. What recommendation would you suggest in order to improve the impact of NGO effort in basic education?

12. Do you have any other comments that you would like to make regarding NGOs and basic education in the district?

THANK YOU VERY MUCH FOR THE INFORMATION AND YOUR COOPERATION