

Public Participation in Planning: Political Prescriptions and Practitioners' Roles

*NEIL BURDESS M.A.(Newcastle upon Tyne),
B.A.(Hons) (Hull), Grad.Cert.Ed. (Hull)*

A thesis submitted for the degree of Doctor of Philosophy of the University of New England

September 1984

To my father, Douglas Burdess

Abstract

The post-war development of environmental planning has been accompanied by an on-going debate regarding the role of public participation. However, such discussion has often been limited both by the lack of any well-defined theoretical framework, and by the limited amount of empirical data in certain areas. This thesis contributes to the planning participation debate, both on a theoretical and empirical level, firstly by establishing a comprehensive political framework within which ideas concerning planning participation can be placed; and secondly, by determining how two potentially influential groups, local professional planners and local elected representatives, regard the concept of participation and their own role with reference to its practice.

The research shows that, on a theoretical level, the planning literature on participation can broadly be classified as predominantly taking either an elitist, pluralist or participationist approach, with the majority of comment relating to those forms which are most widely practised, that is pluralism and elitism. At the empirical level, although there are discernible differences in the level of support given by different subgroups of respondents, the dominant view of both local government planners and councillors is closely related to the elitist perspective, with public participation being regarded basically as a technique to stimulate the two-way flow of information between local government personnel and the general public.

CONTENTS

CHAPTER 1	
INTRODUCTION	1
CHAPTER 2	
PUBLIC PARTICIPATION AND MODERN DEMOCRATIC THEORY	8
2.1 Introduction	8
2.2 Democratic Elitism	14
2.3 A Critique of Democratic Elitism	18
2.4 Pluralism	22
2.5 A Critique of Pluralism	26
2.6 Participatory Democracy	32
2.7 A Critique of Participatory Democracy	37
2.8 Summary	41
CHAPTER 3	
PUBLIC PARTICIPATION IN PLANNING	45
3.1 Introduction	45
3.2 Democratic Elitism in Planning	46
3.2.1 The traditional approach	46
3.2.2 The modern approach	50
3.3 A Critique of the Democratic Elitist Approach to Planning	56
3.3.1 A critique of the traditional approach	57
3.3.2 A critique of the modern approach	62
3.4 Pluralism in Planning	69
3.5 A Critique of the Pluralist Approach to Planning	74
3.5.1 Relations between advocates and clients	74
3.5.2 Relations between advocates and politicians	78
3.5.3 Relations between groups in the bargaining process	81
3.6 Participatory Democracy in Planning	84
3.7 A Critique of Participatory Democratic Approach to Planning	91
3.8 Summary	99
CHAPTER 4	
LOCAL PLANNING AND PUBLIC PARTICIPATION IN NEW SOUTH WALES	105
4.1 Introduction	104
4.2 The Period before the 1945 Planning Legislation	106
4.3 Implementing the 1945 Planning Legislation: 1945-61	109
4.3.1 State Labor Government	110
4.3.2 Local government	112
4.3.3 Planning profession	115
4.3.4 General public	117

4.4	Growth and Conflict: 1962-73	120
4.4.1	State Labor Government	120
4.4.2	State Liberal-Country Party Government	123
4.4.3	Local government	127
4.4.4	Planning profession	129
4.4.5	General public	131
4.4.6	Federal Labor Government	138
4.5	Conciliation and Reform: 1974-79	140
4.5.1	State Liberal-Country Party Government	141
4.5.2	State Labor Government	145
4.5.3	Local government	149
4.5.4	Planning profession	151
4.5.5	General public	155
4.6	Democratic Elitism and New South Wales Local Planning	157
4.7	Summary	160

CHAPTER 5 ASSESSING THE LOCAL PLANNER'S PARTICIPATORY ROLE 165

5.1	Introduction: Role Theory	165
5.2	Organisational Influences on Role Behaviour	167
5.2.1	Office	168
5.2.2	Organisation	170
5.2.3	Society	172
5.3	Role-Set Influences on Role Behaviour	174
5.4	Influence of the Focal Person on Role Behaviour	181
5.4.1	Motivation	185
5.4.2	Abilities	192
5.5	Researching the Local Planner's Participatory Role	197
5.6	New South Wales Local Planners: Data Collection	202
5.7	Summary	208

CHAPTER 6 THE LOCAL PLANNER'S PERSONAL ROLE DEFINITION 210

6.1	Introduction	210
6.2	Perceived Value of Public Participation	210
6.3	Perceived Problems of Public Participation	221
6.3.1	Quality and quantity of the public response	222
6.3.2	Impact of participation on the planning process	227
6.4	Summary	235

CHAPTER 7 DETERMINANTS OF THE LOCAL PLANNER'S PERSONAL ROLE DEFINITION 238

7.1	Introduction	238
7.2	Professional Values	238
7.2.1	Measures of professionalism	239
7.2.2	Professionalism and public participation	249

7.3	Social Expertise	260
7.3.1	Measures of social expertise	260
7.3.2	Social expertise and public participation	266
7.4	Technical Expertise	272
7.4.1	Measures of technical expertise	273
7.4.2	Technical expertise and public participation	288
7.5	Summary	302
CHAPTER 8		
ROLE-SET DETERMINANTS: COUNCILLORS' EXPECTATIONS		305
8.1	Introduction	305
8.2	Data Collection	313
8.3	Councillors' Attitudes Regarding Public Participation	316
8.3.1	Arguments used by supporters of public participation	320
8.3.2	Arguments used by opponents of public participation	328
8.4	Possible Attitudinal Influences	329
8.4.1	Experience	330
8.4.2	Political views	334
8.4.3	Socio-economic status	338
8.5	Summary	341
CHAPTER 9		
ORGANISATIONAL ROLE DETERMINANTS		345
9.1	Introduction	345
9.2	Data Collection	356
9.3	Avenues for Participation	359
9.3.1	Citizen committees	359
9.3.2	Conduct of council and committee meetings	363
9.3.3	Group and individual meetings	366
9.3.4	School contacts	367
9.3.5	Public opinion polls	368
9.3.6	News media and council literature	371
9.3.7	Public relations officers and committees	372
9.3.8	Other participatory activities	373
9.4	The Participatory Environment and the Local Planner's Role	374
9.5	Summary	379
CHAPTER 10		
CONCLUDING COMMENTS		382

ENDNOTES	397
APPENDICES	410
A. Letters to all N.S.W. councils requesting information regarding number of qualified planners	410
B. Follow up letter to non-responding councils	412
C. Letter requesting interviews with N.S.W. local planners	414
D. Interview schedule for use with N.S.W. local planners	416
E. Construction of the Thurstone attitude scale	422
F. Cover letter for N.S.W. councillors' questionnaire survey	435
G. N.S.W. councillors' questionnaire	437
H. Follow up letter to non-responding N.S.W. councillors	441
I. Cover letter for N.S.W. council clerks' survey	443
J. N.S.W. council clerks' questionnaire	445
K. Follow up letter to non-responding council clerks	450
L. Map of N.S.W. local government areas outside the County of Cumberland	452
M. Map of N.S.W. local government areas within the County of Cumberland	454
BIBLIOGRAPHY	456

LIST OF FIGURES

2.1	A basic model identifying three types of democracy	11
2.2	The relative positions of three types of democracy	13
3.1	The relative positions of three types of planning participation in a democracy	103
5.1	Determinants of a local planner's participatory role	198
6.1	Local planners: perceived importance of a knowledgeable public	211
6.2	Local planners: median scores from Thurstone attitude scale	219
6.3	Local planners: response to the statement that 'Public participation should be seen as a very important part of the local planning process'	220
6.4	Local planners: perception of the current level of public participation in the planning of their administrative area	220
7.1	Local planners: planning qualifications	240
7.2	Local planners: areas of professional qualifications	242
7.3	Local planners: gross annual salary	244
7.4	Local planners: professionalism index scores	249
7.5	Local planners: introversion-extroversion scores	261
7.6	Local planners: social club memberships	263
7.7	Local planners: social expertise index scores	265
7.8	Local planners: technical expertise index scores	288
8.1	Local councillors: representation ratios, 1977-78	309
9.1	Levels of organisational role determinants	346
9.2	Local authorities: number of qualified planners	348
9.3	Local authorities: participation index scores	375

LIST OF TABLES

4.1	Metropolitan primacy in New South Wales	105
4.2	Green Bans in Sydney	137
6.1	Local planners: perceived importance of a knowledgeable public	218
6.2	Local planners: average annual number of talks given to school groups during the previous two years	230
7.1	Local planners: professional qualifications	239
7.2	Local government planning as a full time occupation in N.S.W.	243
7.3	Local planners: membership of professional organisations	245
7.4	Local planners: public participation attitude and professional planning qualification and position	252
7.5	Local planners: public participation attitude and membership of professional groups	254
7.6	Local planners: public participation attitude and departmental status	255
7.7	Local planners: public participation attitude and salary	257
7.8	Local planners: public participation attitude and professionalism index score	258
7.9	Local planners: political activities	264
7.10	Local planners: public participation attitude and Eysenck introversion-extroversion scores	266
7.11	Local planners: public participation attitude and affiliation behaviour	268
7.12	Local planners: public participation attitude and political activity	270
7.13	Local planners: public participation attitude and social expertise index	271
7.14	Local planners: duration of work with current employer	275
7.15	Local planners: residence location	277

7.16	Local planners: knowledgeability of selected participation literature	281
7.17	Local planners: perceived importance of selected influences on participation attitudes	289
7.18	Local planners: attitude towards and experience of public participation	290
7.19	Local planners: public participation attitude and private advocacy activities	291
7.20	Local planners: public participation attitude and current job duration	292
7.21	Local planners: public participation attitude and residential location	293
7.22	Local planners: public participation attitude and knowledgeability of selected participation literature	294
7.23	Local planners: public participation attitude and perceived personal participation competence	296
7.24	Local planners: public participation attitude and technical expertise scores	297
8.1	Local councillors and planners: distribution of Thurstone attitude scale scores	317
8.2	Local councillors and planners: response to the statement that 'Public participation should be seen as a very important part of the local planning process	318
8.3	Local councillors and planners: perception of current level of public participation in planning	319
8.4	Local councillors and planners: perceived importance of a knowledgeable public	325
8.5	Local councillors, planners and N.S.W. adult males: age distribution	332
8.6	Local councillors and planners: length of service with current local authority	333
8.7	Local councillors: political party affiliations	337
8.8	Local councillors: public participation attitude, by political party affiliation	338

8.9	Local councillors in the labour force: occupational status	339
8.10	Local councillors in employment: occupation	339
8.11	Local councillors: public participation attitude and socio-economic status	341
9.1	Council clerks questionnaire: response rates	359
9.2	Local authorities: establishment of s.527 and s.530A citizen committees	363
9.3	Local authorities: number of occasions during 1978 when a citizen addressed a meeting of the full council	364
9.4	Local authorities: response to poll result	370
9.5	Local authorities: participation index scores by type of local authority	376
9.6	Local authorities: participation index scores by type of authority and employment of qualified planners	379

ACKNOWLEDGEMENTS

This research was undertaken while I was on the teaching staff of the University of New England and, later, Warrnambool Institute of Advanced Education. I wish to thank my colleagues in both institutions for their support. In particular, I am indebted to my supervisor, Dr. Richard Day. Thanks also go to a number of people involved with the word processing: Bruce McKenzie, Helen McKenzie, Maureen Mackay, Val Walker and Robert Barraclough. Special thanks go to my wife for her assistance with proof-reading and, more especially, for her continued forbearance and encouragement. Finally, this study would not have been possible were it not for the cooperation of local government planners, elected representatives and council clerks throughout New South Wales. To them I must express my sincerest appreciation.